

## UNIFORM CONTRACT FORMAT

PART I - THE SCHEDULE  
SECTION B - SUPPLIES/SERVICES AND PRICES

## B.1 SERVICES AND PRICES

<u>LINE ITEM DESCRIPTION</u>	<u>QUANTITY</u>	<u>AMOUNT</u>
0001 External RDT&E in accordance with specifications set forth in Section C. (Subsections 6.1 through 6.4).	1 Lot	\$ 1,450,000
0002 Reports	1	NSP
0002AA Workplan Milestone Report	1	NSP
0002AB Progress Reports	5 (est)	NSP
0002AC Special Report	1	NSP
0002AD Final Technical Report	1	NSP
0002AE Variance Report	As needed	
0002AF Technical Briefing	1	NSP
0003 Quick Reaction Capability	As needed	

**PART I - THE SCHEDULE  
SECTION C — DESCRIPTION/SPECIFICATIONS**

**C.1. WORK STATEMENT:** Under this contract, the contractor, as an independent contractor, and not as an agent, servant, or employee of the Government, utilizing special knowledge and techniques possessed by and available to the contractor, shall furnish all labor, equipment, facilities, services, and materials, necessary for the performance of the work set forth below: See Statement of Work, Enclosure 3, and DD Form 1423 (Contract Data Requirements List) dated 26 Jan 89 attached in Exhibit A.

**PART I - THE SCHEDULE  
SECTION F - DELIVERIES OR PERFORMANCE**

**F.1 TIME OF DELIVERY:** The work and services required under Section B shall be completed/delivered as specified on Enclosure 9.

**F.2. TERM OF CONTRACT:**

F.2.1. The work required by task 6.1 through 6.3 shall be performed during the period commencing on the effective date of this contract and not to exceed 18 months in length.

**F.3. PLACE OF PERFORMANCE:** The work under this contract shall be performed at the contractor's facilities unless otherwise provided in this contract.

PART I - THE SCHEDULE  
SECTION H - SPECIAL PROVISIONS

H.1. DISCLOSURE OF INFORMATION:

- a. The contractor shall not disclose any information under this contract, including the following, to any person unless (i) it is required for the performance of this contract or (ii) the individual is specifically authorized in writing by the Contracting Officer to receive the information.
  - (1) The contract schedule and technical data incorporated therein.
  - (2) Sponsorship of the contract.
  - (3) The Government's use, interest in, or application of the following:
    - (a) The contract schedule and technical data incorporated therein.
    - (b) Purchase description, specifications and drawings.
    - (c) Scientific studies, research, development and design service.
    - (d) Components, devices, equipments and systems.
    - (e) Techniques, methods and processes.
    - (f) Details and/or results of performance.
- b. Disclosure by the contractor which require specific written authorization from the Contracting Officer include information, whether written or oral, to be revealed in open publications, technical conferences, symposia, meetings, periodicals, journals, brochures, advertising, films, photographs, fact-sheets, or other material prepared for open publication.
- c. When prescribed by the Contracting Officer, the contractor agrees to insert in subcontracts and purchase order hereunder provisions which shall conform substantially to paragraphs a and b. Normally these provisions will not be required by the Contracting Officer in purchase orders for standard commercial items which have been sold or offered for sale to the public commercially by any supplier.
- d. The provisions set forth in paragraphs a, b, and c shall not be construed to preclude the contractor from otherwise using, for its normal commercial purposes, information, techniques, processes, methods, developments, components, devices, equipments, systems, and proprietary data employed in the conduct of the work, except that which is specifically developed for or as a result of this contract, or which is precluded from release due to its classified nature. In so using the information as authorized by this paragraph the contractor (i) shall not disclose any information concerning the sponsorship of this contract, or
  - (ii) the nature of the Government's interest in and application of the subject matter of this contract.
- e. The contractor will submit to the Contracting Officer for clearance and release six (6) copies of the material at least four (4) weeks in advance of presentation or publication.

**H.2. GOVERNMENT FURNISHED DATA:** The Contractor shall request any data required for the conduct of this contract, in writing, from the Contracting Officer's Technical Representative (COTR). The COTR will maintain records of all such Government furnished data to insure accountability and return to the Government upon termination of the contract.

**H.3. SECURITY REQUIREMENTS:**

a. The Contractor shall maintain and administer a security program in accordance with DoD 5220.22-M Industrial Security Manual and DIAM 50-5. Copies of these documents are available for review in the office of the procuring Contracting Officer.

b. Loss or suspension of required security clearance, as set forth on the attached DD Form 254 (Contract Security Classification Specification) will result in inability to perform in accordance with the terms and conditions of the contract. As a result the contract is subject to default in accordance with the clause entitled "Default."

c. The Government reserves the right to direct any Contractor employee to be removed from performance, direct or indirect, whenever there is probable cause to believe, on the basis of all facts available, that such action is warranted in the interest of national security, whether or not the cause is deemed of sufficient severity to warrant action to terminate the Contractor's or individual's security clearance. The Government also reserves the right to direct any contractor employee to be removed from performance, direct or indirect, for the period of time necessary to conduct any investigation of alleged misconduct which may, in the opinion of the Contracting Officer, jeopardize the security of the project.

d. Military security requirements in the performance of this contract shall be maintained in accordance with the DD Form 254 contained in Section J. The highest classification involved in the performance of this contract is ~~Top Secret/Special Compartmented Intelligence (SCI)~~. This contract document is unclassified.

e. The contractor will not use any electronic/electrical information processing equipment in the possession of the Contractor for the purpose of processing or transmitting classified information under this contract without the written permission of the Contracting Officer.

**H.4. CHANGE IN KEY PERSONNEL:** The Contractor shall notify the Contracting Officer prior to making any change in the personnel identified in the proposal as key personnel assigned to this contract. The Contractor must demonstrate that the qualifications of the prospective personnel are equal to or better than the qualifications of the personnel being replaced.

H.5. USE OF HUMAN SUBJECTS:

a. DEFINITIONS:

1. Human Subject: An individual about whom an investigator conducting research obtains data through interaction with the individual, including both physical procedures and manipulations of the subject or the subject's environment. The term does not include military or civilian personnel who are qualified to test by assignment to duties that call specifically for such qualifications such as test pilots and test engineers.

2. Non-U.S. Citizens: Foreign nationals, excluding, personnel on active duty.

3. Research: The term does not include individual or group training of military personnel such as combat readiness, effectiveness, proficiency, or fitness exercises.

b. GENERAL GUIDANCE:

1. The contractor shall conduct research using human subjects at all times so as to be in full compliance with all applicable laws, federal regulations and DoD instructions. Among them are:

a. 45 CFR 46 Health and Human Services (HHS) Regulation, "Protection of Human Subjects";

b. 10 U.S.C. Section 980, "Limitations on Use of Humans as Experimental Subjects";

c. DoD Directive 3216.2 (January 7, 1983) "Protection of Human Subjects in DoD-Supported Research";

2. Safeguarding the rights and welfare of subjects at risk in activities supported by this contract is the responsibility of the Contractor. Compliance with this contract will in no way render inapplicable pertinent federal, state, or local laws or regulations. In order to provide for the adequate discharge of this institutional responsibility, no activity involving human subjects under this contract shall be undertaken unless a Contractor Human Use Review Board (CRB) has reviewed and approved such activity.

3. The contractor must provide the Contracting Officer with a written assurance that it is in compliance with all provisions of 45 CFR 46 HHS Regulation, "Protection of Human Subjects", as amended.

4. The Contractor will assure the Contracting Officer that the identities of all subjects will be protected.

5. Informal consent must be obtained in writing from each human subject before research is undertaken.

6. The Contractor shall provide all necessary medical care to research subjects for injury or disease which is the proximate result of participation in the research.

7. Studies conducted outside the United States, its territories or possessions, shall be conducted in compliance with all laws, customs, and practices of the country in which the study is to be conducted.

c. REQUIREMENTS FOR REPORTING AND DOCUMENTATION:

1. Copies of all documents presented or required for initial and continuing review of the CRB, e.g., Board minutes pertaining only to the contract, record of subjects consent, transmittal on actions, instructions and conditions resulting from Board deliberations addressed to the activity director, are to be retained by the Contractor for at least three (3) years after completion of the research. All documents shall be accessible for inspection during normal working hours by the DIA COTR or authorized representative.

2. Except as otherwise provided by law, information in the records or possession of the Contractor which refers to or can be identified with a particular subject may not be disclosed except:

a. With the consent of the subject of his legally authorized representative, or

b. As may be necessary for the DIA to carry out its legal responsibilities.

3. Upon expiration or termination of this contract, a list of all unused test material shall be provided to the DIA Contracting Officer.

4. The Contractor shall immediately notify the DIA Contracting Officer, by telephone, of inquiries from sources outside the Department of Defense concerning the use of human subjects under this contract.

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STATEMENT OF WORK

FOR

PHENOMENOLOGICAL RESEARCH AND ANALYSIS

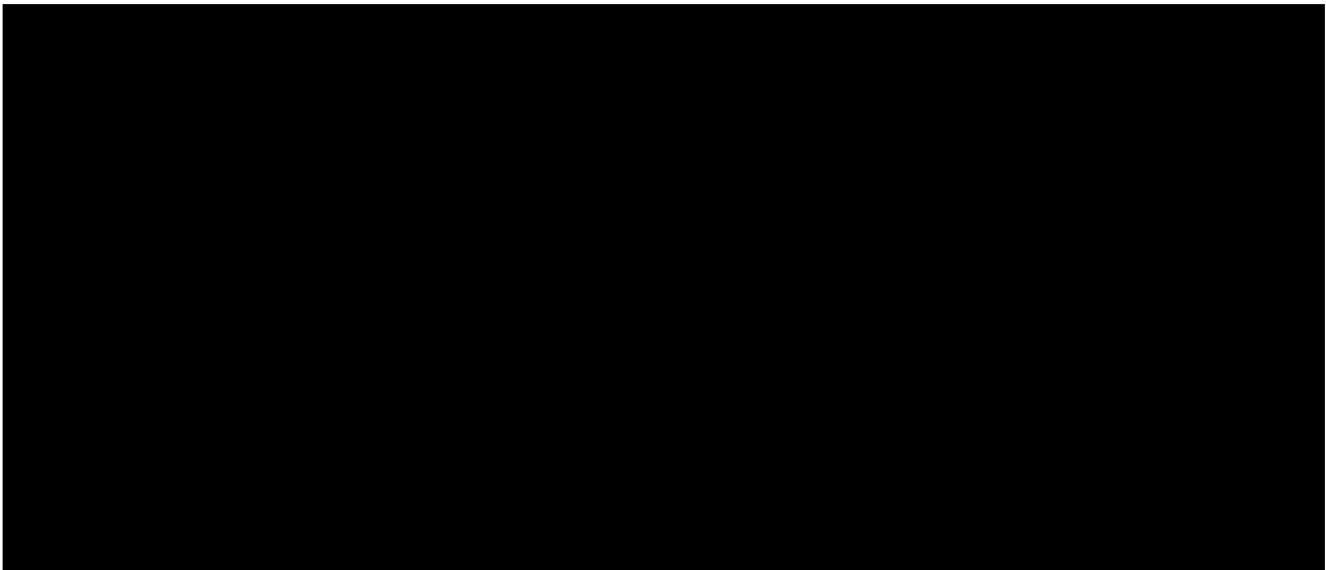
1.0 (S/NF) PURPOSE: The purpose of this effort is to conduct research and perform select analyses of anomalous phenomena.

2.0 (U) BACKGROUND:

2.1 (C) Phenomenological research and analysis as intended by this contract refers to anomalous phenomena associated with human abilities. Investigations in this area have sometimes been referred to as Remote Viewing (RV), Extrasensory Perception (ESP), and recently Anomalous Cognition (AC) or Anomalous Phenomena (AP). Foreign researchers have also used the term psychoenergetics to describe aspects of these areas.

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2.2 (S/NF) Over the past sixteen years DIA has followed the field of psychoenergetics for two basic reasons:



2.4 (S/NF) In 1983 a report with project findings was sent to congressional intelligence and appropriation committees. The report affirmed the existence of remote viewing phenomenon and recommended that additional research for quantifying and developing remote viewing as an intelligence collection tool be pursued. This recommendation was endorsed by a special Science Panel that met to review the project findings. This panel also affirmed that the contractual research was scientifically sound and urged that basic and applied research in psychoenergetics be continued, its scope expanded, and that other laboratories be involved.

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Enclosure 3

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2.5 (S/NF) The first opportunity to expand this research scope occurred in 1985. The Department of the Army's Surgeon General Office for Research and Development (DASGRD) initiated a five year research and development program. The SGRD program centered on two main aspects: basic anomalous phenomena understanding, and applications-oriented research. DIA established close ties with this R/D effort to ensure that applications research would be adequately pursued.

2.6 (S/NF) In 1987, it became apparent that SGRD funding might not be available to carry through to the end of the five year period. Consequently, additional funding was sought by DIA and acquired through funding transfer action by the House Appropriations Committee (HAC). This funding was provided to DIA; MIPR action to the SGRD contract was the most expeditious method of allocating this funding.

2.7 (S/NF) Results of this effort yielded several findings of breakthrough potential. Subsequently, follow-on research support was provided to DIA by Congressional action for FY91; DIA was identified as the executive agent due to long-standing knowledge and expertise in this area. Both R&D and O/M funds were allocated for continuing basic and applied research, for applied investigation and for assisting in assessment of relevant foreign research. Additional funds were also provided by congressional action in FY92 to continue this effort and to start new research.

3.0 (S/NF) OBJECTIVE: The objective of this contract is to initiate new basic and applied research in this area. It also includes exploratory work in order to identify new facets of the phenomena and to identify underlying parameters.

4.0 (S/NF) SCOPE: Basic research, applied research and threat assessments will be accomplished for this phenomenological area.

5.0 (S/NF) TECHNICAL/OTHER CONSIDERATIONS:

5.1 (S/NF) All research activities and findings resulting from previous programs in psychoenergetics shall be brought to bear on this effort. In addition, expertise in multidisciplinary areas shall be made available as consultants or for special support as required.

5.2 (U) Specialized support, if not available at the contractor, can be obtained via consultant or subcontract efforts with prior approval by the COTR. Every effort should be made to insure such support is from the recognized experts in the field. Some of the specific tasks in section 6.0 can be performed in unclassified facilities.

5.3 (S/NF) This effort will utilize a technical review group (e.g., a Scientific Oversight Committee, a Human Use Review Panel and a Policy Board) that was established in the previous effort.

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5.4 (S/NF) Some of the experiments/investigations required to fulfill this contract may require participation of select government personnel. All such investigations will be fully planned and coordinated with the COTR and will be under the purviews of the SOC and the Human Use Review Committee.

5.5 (U) Should unforeseen schedule of other issues arise concerning any of the specific tasks identified in section 6.0, the COTR will be given immediate notice. If necessary, adjustments to priorities and schedules can be approved by the COTR if there is no cost or other impact on the over-all program. The COTR will also review/approve all anticipated research protocols.

5.6 (U) All items in sections 6.0 and 7.0 will be funded from R&D funding provided by this contract, and shall be expended NLT 18 months after contract award. Accounting procedures to clearly identify the R&D expenditures shall be implemented by the contractor.

5.7 (U) Terms of reference and additional task details are on file by the COTR and will be provided to the contractor to insure adequate task understanding. This material is contained in DIA publication DT-S-1007-S, 29 NOV 1990.

## 6.0 (S/NF) SPECIFIC TASKS:

### 6.1 (U) Basic Research:

#### 6.1.1 (U) Biophysical Measurements:

6.1.1.1 (U) Perform magnetoencephalograph (MEG) brain wave measurements for the purpose of isolating neurophysical parameters that correlate with anomalous cognition performance. Leading personnel candidates identified from previous work should be used, along with new unique population groups. Emphasis will be on sender/no sender conditions for phase shift parameters associated with remote light stimuli.

6.1.1.2 (U) Perform MEG measurements as identified in 6.1.1.1 to include other (e.g., audio) or combined remote stimuli.

6.1.1.3 (U) Perform MEG measurements as identified in 6.1.1.2 to include variations to the nature (e.g., pattern, intensity) of the remote stimuli.

6.1.1.4 (U) Perform MEG measurements as identified in 6.1.1.1 to determine if MEG results are influenced by changes to physical parameters (such as shielding, distance) of the remote stimuli. This effort may require the assistance of another cooperative laboratory. Additional instrumentation would also be required to resolve experimental timing issues.

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6.1.1.5 (U) Based on MEG measurements as defined by base line data developed in 6.1.1.1 determine the effect of moving MEG instrumentation locations to different areas of the brain. This effort could help better isolate precise areas of the brain associated with anomalous cognition functioning.

6.1.1.6 (U) Perform counterpart electroencephalograph (EEG) measurements for determining MEG/EEG correlations in general, and for select variables examined in 6.1.1.1 thru 6.1.1.4.

6.1.1.7 (U) Initiate new experiments that involve other sensitive biophysical sensors (such as skin resistance) to search for possible phenomena correlates.

#### 6.1.2 (U) Data Patterns/Parameter Correlations:

6.1.2.1 (U) Perform anomalous cognition experiments in conjunction with computer virtual reality (VR) devices to determine if data quality can be enhanced.

6.1.2.2 (U) Perform anomalous cognition experiments to determine if various subliminal stimuli and/or lowered subliminal thresholds can improve data quality.

6.1.2.3 (U) Perform anomalous cognition experiments with high-performing individuals for beacon/no beacon person conditions at long distances to statistically quantify effects of distance on performance. Several unique target categories or target material should be included to facilitate data pattern recognition.

6.1.2.4 (U) Explore other potential variables (e.g., personality types, training/internal strategy) that could effect anomalous phenomena performance. This activity should include a detailed examination of the "verbalizer" vs. the "imager" to determine the effect of verbal or visual dominated targets/tasks.

#### 6.1.3 (U) Theoretical Issues:

6.1.3.1 (U) Perform anomalous phenomena (energetics, informational) experiments with or in the presence of highly sensitive/advanced instrumentation (e.g., unusual wave devices, gravity sensors) to assist in mechanism identification.

6.1.3.2 (U) Perform experiments similar to 6.1.3.1 but to include potential high-talent individuals (e.g., martial arts experts)

6.1.3.3 (U) If results in 6.1.3.2 show promise, expand experiments to include parameter variations such as distance, shielding, and time (e.g., precognition).

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6.1.3.4 (U) Depending on above results, initiate development of unified physical constructs that could help explain the phenomena.

6.1.3.5 (U) Based on 6.1.3.4, identify potential new experiments that could help further resolve underlying mechanisms and possible limitations.

6.1.3.6 (U) Initiate work on exploratory constructs that integrate latest neuroscience findings with anomalous phenomena patterns and cognitive style. Where possible, relate these findings to various target types and characteristics (such as information entropy).

6.2 (U) Applied Research:

6.2.1 (S/NF) Based on the results from the basic research in the SOW, develop suitable anomalous cognition/anomalous perturbation experiments that identify possible application ranges or limits.

6.2.2 (S/NF) Develop new data and target analysis techniques (such as modified artificial intelligence methods) that facilitate data evaluation and accuracy/reliability prediction. Other methods, such as those involving fractal image conversion, should also be examined.

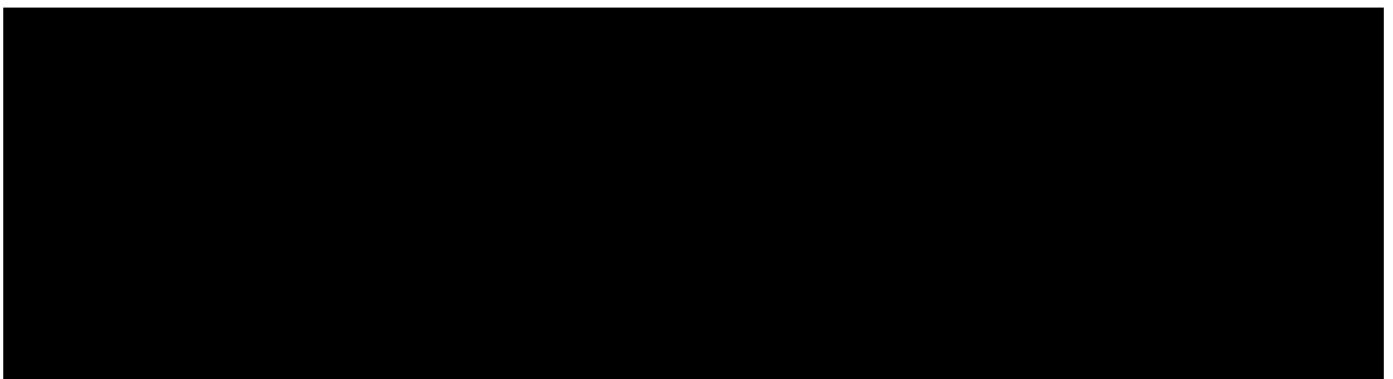
6.2.3 (S/NF) Participate in various sponsor-generated application projects to better evaluate potential application issues.

6.3 (U) Research Methodology and Support:

6.3.1 (U) Provide appropriate research methodology support to include reviews/approvals by the established Scientific Oversight Committee, the Policy Board and the Human Use Review Panel.

6.3.2 (U) Provide appropriate management support and appropriate project research support activity to include document preparation, administration, and all project associated travel for contractor personnel, consultants, and other experts.

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7.0 (U) **QUICK REACTION CAPABILITY (QRC):** The contractor will maintain a quick response capability and be prepared to respond in less than 24 hours to problems or unexpected demands for brief technical papers that may be developed during the term of this project.

8.0 (U) **ALLOCATION OF EFFORT:** The level of effort to be applied to each basic task category is shown in the following paragraphs.

8.1 (U) R & D:

<u>Basic Task No.</u> <u>(R&amp;D)</u>	<u>Allocation of Effort/ % Funding</u>
6.1	40
6.2	35
6.3	20
7.0	5

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JUSTIFICATION FOR OTHER THAN FULL AND OPEN COMPETITION

WITH

SCIENCE APPLICATIONS INTERNATIONAL CORPORATION

(S/NF) The Defense Intelligence Agency proposes to procure through the Virginia Contracting Activity, pursuant to the authority of 10 U S C 2304 (c) (1), services to perform phenomenological research and analysis. The estimated cost of the service is \$ over a two-year period. Procurement of the above by use of procurement procedures by other than full and open competition is necessary because:

(1) (U) The contractor is uniquely qualified due to his extensive background in interdisciplinary research areas that spans over 20 years. This multidisciplinary staff will be available for consultation as the need arises.

(2) (S/NF) The contractor also has on its staff two physicists who have extensive experience in psychoenergetics investigations. One of these is Dr. Joseph Angelo who has had over 10 years of involvement in this field in a project management capacity and at an applications research level. The other physicist, Dr. Edwin May, is regarded as the leading U.S. investigator in the field of psychoenergetics. He has been a program manager in this area since 1986, and has a long term involvement (over 19 years) in this field. His extensive work history provides for continuity not available from any other individual. He is recognized by investigators world-wide, and can easily remain current with relevant foreign research.

(3) (U) The contractor has an extensive classified data base which is co-mingled with both government and proprietary data that is essential for this contract.

(4) (S/NF) It would require several years for a new contractor to achieve the present level of expertise and competence possessed by Dr. May and Dr. Angelo. This would create a serious delay in pursuit of appropriate research, in achievement of application-oriented results and in understanding potential threat posed by similar foreign activities in this area.

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Enclosure 4

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DATE: \_\_\_\_\_

PREPARED BY: [REDACTED]

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CERTIFIED AS ACCURATE AND COMPLETE:

DATE: \_\_\_\_\_

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JOHN T. BERBRICH  
Director  
Office for Scientific and  
Technical Intelligence

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SOLE SOURCE JUSTIFICATION

(S/NF) The contractor, Science Applications International Corporation (SAIC), has on its staff, physicist Dr. Edwin May, who is regarded as the leading U.S. investigator in the field of psychoenergetics. He has an outstanding track record of accomplishments, including innovative and creative approaches to this difficult and multi-disciplinary area. He is prime author of over 40 technical reports and research papers. He has been a program manager in this area since 1986, and has a long term involvement (over 19 years) in this field. His extensive work history provides for continuity not available from any other individual. He is recognized by investigators world-wide, and can easily remain current with relevant foreign research. Insight gained from foreign research is extremely beneficial in identifying potential breakthroughs.

(S/NF) In addition, SAIC provides a highly qualified multi-disciplinary staff to support Dr. May's activity. This includes psychologists, physicists, and statisticians, as well as a host of technical experts that can be called upon for consultation from other SAIC departments.

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Prepared by: \_\_\_\_\_

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DATE: \_\_\_\_\_

JOHN T. BERBRICH  
Director  
Office for Scientific and  
Technical Intelligence

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Enclosure 4

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SOLE SOURCE JUSTIFICATION

(S/NF) The contractor, Science Applications International Corporation (SAIC), has on its staff, two physicists who have extensive experience in psychoenergetics investigations. One of these is Dr. Joseph Angelo who has had over 10 years of involvement in this field in a program management capacity and at an applications research level. The other physicist, Dr. Edwin May, is regarded as the leading U.S. investigator in the field of psychoenergetics. He has an outstanding track record of accomplishments, including innovative and creative approaches to this difficult and multi-disciplinary area. He is prime author of over 40 technical reports and research papers. He has been a program manager in this area since 1986, and has a long term involvement (over 19 years) in this field. His extensive work history provides for continuity not available from any other individual. He is recognized by investigators world-wide, and can easily remain current with relevant foreign research. Insight gained from foreign research is extremely beneficial in identifying potential breakthroughs.

(S/NF) In addition, SAIC provides a highly qualified multi-disciplinary staff to support Dr. May's activity. This includes psychologists, physicists, and statisticians, as well as a host of technical experts that can be called upon for consultation from other SAIC departments.

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DATE: \_\_\_\_\_

JOHN T. BERBRICH  
Director  
Office for Scientific and  
Technical Intelligence

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Enclosure 4

SERVICES QUESTIONNAIRE

Requirement Document No. 330/026Z/92

**TITLE:** External Analysis and Development

The following questions are to be answered by the individual initiating the procurement request for contractor support services, and will be used by the CO in determining whether the services are nonpersonal.

**1. THE NATURE OF THE WORK**

(A) To what extent can the Government obtain civil servants to do the job?

Agency and other government personnel are not available with the requisite skills and analytical background.

(B) Does the proposed contractor have specialized knowledge or equipment which is unavailable in the Government? If the answer is in the affirmative, describe the specialized knowledge or equipment.

The potential Contractors have unique knowledge of this phenomenological area. The contractors have employees that are recognized leaders in this field of analysis.

(C) To what extent do the proposed services represent the discharge of a Governmental function which calls for the exercise of personal judgment and discretion on behalf of the Government?

Not at all.

(D) To what extent is the requirement for services to be performed under the proposed contract continuing rather than short-term or intermittent?

This requirement is expected to be a continuing task.

**2. CONTRACTUAL PROVISIONS CONCERNING THE CONTRACTOR'S EMPLOYEES**

of (A) To what extent does the Government specify the qualifications or reserve the right to approve, individual contractor employees?

Security and possible specification of contractor expertise.

(B) To what extent does the Government reserve the right to assign tasks to and prepare work schedules for the contractor employees during the performance of the contract?

Not at all.

(C) To what extent does the Government retain the right (whether actually exercised or not) to supervise the work of the contractor employees, either directly or indirectly?

Not at all.

(D) To what extent does the Government reserve the right to supervise or control the method in which the contractor performs the service, the number of people he will employ, the specific duties of individual employees, and similar details?

Not at all.

(E) To what extent will the Government review performance by each individual contractor employee, as opposed to reviewing a final product on an overall basis after completion of the work?

Not at all.

(F) To what extent does the Government retain the right to have contractor employees removed from the job for reasons other than misconduct or security?

Not at all.

### 3. OTHER PROVISIONS OF THE CONTRACT

(A) Are the services properly defined as an end product?

Yes.

(B) Is the contractor undertaking a specific task or project that is definable either at the inception of the contract or at some point during the performance, or is the work defined on a day to day basis?

The potential contractors will be undertaking a specific project which is defined at the inception of the contract in the Statement of Work.

(C) Will payment be for results accomplished or solely according to time worked?

Payment will be for results accomplished.

(D) To what extent will the Government furnish the office or working space, facilities, equipment, and supplies necessary for contract performance?

None required.

4. ADMINISTRATION OF THE CONTRACT

(A) To what extent are contractor employees used interchangeably with Government personnel to perform the same functions?

Not at all.

(B) To what extent are the contractor employees integrated into the Government's organizational structure?

Not at all.

(C) To what extent are any of the elements in (2) and (3) above present in the administration of the contract, regardless of whether they are provided for by the terms of the contract?

Not at all.

5. OTHER CONSIDERATIONS

(A) Is the requirement the result of an unsolicited proposal? If so, describe.

No.

(B) If the procurement is sole source, why is it sole source?

This is a limited source procurement.

(C) What is the potential for follow-on procurement?

There is some potential for follow-on procurement depending on the nature and extent of achievements in the subject area. The current state of this field of study is judged to be on the threshold of breakthroughs which would radically change the nature of this requirement.

(D) If it is significant, will the Government become irrevocably committed to sole source procurement?

Not likely. If anticipated developments materialize this field of study could become much more popular with a greater number of contractors and academic institutions.

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Prepared by:

Code: ODT-S Date: 10 February 1992

Pursuant to the FAR and on the basis of information contained above and a review of the referenced acquisition request, it is my determination that the services to be procured are nonpersonal. (Reference parenthetical weights on various factors in the FAR.)

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Procuring Contracting Officer

I concur, and believe that the Contracting Officer's judgment may reasonably be made under the FAR.

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Counsel

STATEMENT

**RESEARCH OF PLANNED, ON-GOING,  
AND COMPLETED STUDIES**

Research by my staff indicates, to the best of our knowledge, that there is no other known similar or collateral study or analysis, planned, in progress, or completed which fulfills the objectives or specific intent of this proposed contract study: Phenomenological Research and Analysis (Purchase Request # 330/026Z/92).

PREPARED BY:

Date: \_\_\_\_\_

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CERTIFIED AS ACCURATE AND COMPLETE

Date: \_\_\_\_\_

JOHN T. BERBRICH  
Director  
Office for Scientific and  
Technical Intelligence

Enclosure 6

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**DIA LOGISTICS/SUPPORT PLAN**

**CONTRACT TITLE:** Research and Analysis      SG1J  
**CONTRACT SPONSOR:** [REDACTED]  
**ELEMENT:** ODT-S      SG1A  
**PHONE:** [REDACTED]

<b>A. EQUIPMENT MAINTENANCE (RTS-6)</b>	Not Required
1. Internal Maintenance	
2. Contractor Maintenance	
<b>B. SUPPLY SUPPORT (RTS-6)</b>	Not Required
1. Consumables	
2. Equipment Storage	
<b>C. EQUIPMENT TRANSPORTATION HANDLING (RTS-6)</b>	Not Required
<b>D. FACILITIES (RTS-1)</b>	Not Required
1. Utilities	
2. Space, location	
3. Health, Environmental & Safety implications	
<b>E. PERSONNEL AND TRAINING (RHR)</b>	Not Required
1. Civilian & military personnel requirements	
2. Required Training	
3. Personnel relocations	
<b>F. INFORMATION AND GRAPHICS (RSO)</b>	Not Required
1. Systems concept	
2. Software requirements	
3. Hardware requirements	
4. Required funding	
<b>G. PUBLICATIONS AND GRAPHICS (RTS-5)</b>	Not Required
<b>H. COMMUNICATIONS (RCM)</b>	Not Required
<b>I. SECURITY SERVICES (OSC)</b>	REQUIRED
1. Security clearances	
2. Physical security	
3. Contractor security	
4. TEMPEST - DIA/OSC-2 will review and evaluate all requests to process classified information electronically and will provide guidance on equipment procurement, installation, relocation and other measures relative to the control of compromising emanations.	

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ADVANCED ACQUISITION PLAN (AAP) SUMMARY

AAP NO./PURCHASE REQUEST NO. 330/026Z/92

PROJECT TITLE: Phenomenological Research and Analysis (U)

1. (U) BACKGROUND:

a. (U) Phenomenological research and analysis as intended by this contract refers to anomalous phenomena associated with human activities. Investigations in this area have sometimes been referred to as Remote Viewing (RV), Extrasensory Perception (ESP), and recently as Anomalous Cognition (AC) or Anomalous Phenomena (AP) research. Foreign researchers have also used the term, psychoenergetics, to describe aspects of this area.

b. (S) In 1985, the Department of Army's Surgeon General Office for Research and Development (DASGRD) initiated a 5-year phenomenological research and development program. Research identified for this effort centered on two aspects; basic phenomena understanding and applications oriented research. This SGRD program was in response to recommendations that followed from DIA's earlier 3-year evaluation effort that examined the validity and threat potential of an aspect of this phenomena known as remote viewing. DIA established close ties with this SGRD research program to insure that applications research would be adequately pursued.

c. (S/NF) In 1987, it became apparent that SGRD funding might not be available to carry the project through to the end of the 5-year period. Consequently, additional funding was sought by DIA and acquired through funding transfer action by the House Appropriations Committee (HAC). This funding was provided to DIA; MIPR action to the SGRD contract was the most expeditious method of allocating these funds.

d. (S/NF) Results of the DIA/SGRD effort yielded several findings of breakthrough potential. Subsequently, follow-on research support was provided to DIA by Congressional action for FY91. DIA was identified as the executive agent due to long-standing knowledge and expertise in this area. Both R&D and O&M funds were allocated for continuing basic and applied research, for applied investigations, and for assisting in assessment of relevant foreign research. Additional R&D funding was received by DIA in the FY 92 Defense Authorization Act to continue research in this area initiated in FY 91 and to undertake new research along with other activities.

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Declassify On: OADR

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2. (S/NF) DESCRIPTION (Expected Capability): This effort will permit both research and analysis of anomalous phenomena to continue. Tasks defined in the classified statement of work focus on those activities that have the highest potential payoff in phenomena understanding, in application analysis, and in threat assessment support. Progress in this area will assist DIA and the Intelligence Community in performing adequate threat assessments and for possible pursuit of applications involving this phenomenological area.

3. (U) MISSION REQUIREMENT SUPPORTED:

a. (U) Source of Mission (DCI goal, Defense guidance, JCS, Other): DCI Goal #2; DIP FY88-92 Required Capabilities 1i, 1n, 1q, 2a, 2e, 2g, 2j, 2n; DoD Plan for Intelligence Support to Operational Commanders FY88-92 Required Capabilities 20, 21, 23. NIT #9 & 32.

b. (U) Impact of Disapproval: Failure to fund this effort would seriously degrade DIA's ability to assess military potential of the emerging foreign efforts in this field and would eliminate potential for phenomena understanding and application development.

4. (U) CONTEXT:

a. (U) Relationship to Ongoing or Proposed Projects: There are no known similar current or proposed projects.

b. (U) Managerial Perspective (DIA goal or objective supported):

(1) (U) Responsive to PMGM 1988-1992 General Production Guidance II.B.2.a.

(2) (S/NF) A prior effort (1981-1983) concerning anomalous phenomena research was conducted through a DIA external contract. The main purposes of this effort was to evaluate the threat that foreign achievements in this area could pose to US national security and to explore its potential for use in US intelligence collection and military operational problems. The results of this effort have confirmed the reality of the remote viewing phenomenon and the existence of a potential threat to US national security. Assessments of foreign research in psychoenergetics were also included in the early effort.

c. (U) Accordance with Agency ADP Planning, if applicable: N/A.

d. (U) Government Furnished Space: None.

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**ADVANCED ACQUISITION PLAN (AAP)**  
**NO. PURCHASE REQUEST (PR) NO. 330/025Z/92**  
**FY1991**  
**(DOLLARS IN THOUSANDS)**

10 February 1992  
(Date Prepared)

SG1J	SG1A	
1. PROJECT MANAGER	ODT-S	
2. ELEMENT BUDGET OFFICER	-SF/373-4865	SG1J
3. ACQUISITION ACCOMPLISHED BY:	X RSQ	MIPR ISA Other

(Note: If other than RSQ complete blocks 1 thru 5 and 7 a, b, c)

4.  NEW EFFORT

ON GOING EFFORT

5. CONTRACT DOLLARS: Prior Years FY91 FY92 FY93 FY94 FY95 Outyears  
 (\$Mil)

a. Appropriation:	O&M	0.2	—	—	—	—	—
	R&D	1.5	—	—	—	—	—
	PROC	—	—	—	—	—	—
	Other Gov't Funds	—	—	—	—	—	—
	<b>TOTAL:</b>	<b>1.7</b>	—	—	—	—	—

b. Total Estimated Contract Amount \$ 1.7 million  
 (Include cost of total effort in Statement of Work)

c. Sources of Funds

(1) Program/Budget Line Name/Dollars

<u>Line Name(s)</u>	<u>Approp</u>	<u>FY91</u>	<u>FY92</u>	<u>FY93</u>	<u>FY94</u>	<u>FY95</u>	<u>Outyears</u>
1.004	R&D	\$1.5 Mil					
917.033	O&M	.2					

(2) Program/Budget Record

<u>Line Name</u>	<u>Project #</u>	<u>EU</u>	<u>RC</u>	<u>PE</u>
SUNSTREAK	BASE	103	301	301

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AAP NO./PR NO. 330/0012Z/91

6. RECOMMENDED CONTRACT TYPE

- a. Fixed Price (Type) \_\_\_\_\_
- b. Cost-Reimbursement (Type) Cost plus fixed fee \_\_\_\_\_
- c. Other \_\_\_\_\_

7. TARGET DATE FOR MILESTONES

- a. Completion of acquisition-package preparation \_\_\_\_\_
- b. Complete coordination within Directorate and others as required \_\_\_\_\_
- c. Transmitted to OC \_\_\_\_\_
- d. Transmitted to RSQ \_\_\_\_\_
- e. Award date \_\_\_\_\_
- f. Required delivery date or performance period \_\_\_\_\_ thru \_\_\_\_\_

8. OTHER CONSIDERATIONS \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

9. SUBMITTED BY \_\_\_\_\_ SG1J  
(Signature & Date) [REDACTED]

10. APPROVED/CONCUR

CONCUR: HEAD OF MAJOR ELEMENT \_\_\_\_\_  
(Signature & Date)

CONCUR: OC \_\_\_\_\_  
(Signature & Date)

APPROVED BY: ASSISTANT DEPUTY DIRECTOR  
FOR PROCUREMENT \_\_\_\_\_  
(Signature & Date)

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**EXCEPTION  
to  
Requirement To Synopsize**

DIA/ODT R&D Contract: Phenomenological Research and Analysis (U)

Purchase Request # 330/026Z/92

Information necessary for synopsizing this contract cannot be worded in such a manner so as to avoid disclosure of classified information. The activity of this contract is highly sensitive and any attempt to synopsize would reveal DIA's interest from an applications perspective.

Date: \_\_\_\_\_

JOHN T. BERBRICH  
Director  
Office for Scientific and  
Technical Intelligence

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JUSTIFICATION AND APPROVAL  
FOR OTHER THAN FULL AND OPEN COMPETITION

1. (U) Contracting Authority: Virginia Contracting Activity, P. O. Box 46563, Washington, DC 20050-6563.
2. (U) Authority Cited: 10 U.S.C. 2304(c)(6); FAR 6.302-6, "Disclosure of the Agency's needs would compromise national security unless the Agency can limit the sources."
3. (U) Description of Supplies/Services: The Defense Intelligence Agency (DIA) proposes to procure Phenomenological Research and Analysis Services from Science Applications International Corporation (SAIC) for a DIA special activity program. External assistance funds available for this Congressionally Directed Program total \$1,850,000.00. Purchase request (PR No. 330/026Z/92) provides \$1,450,000.00 of this total amount for a new contract to satisfy new requirements. The remaining R&D funding will be for modification to an existing contract (MDA 908-91-C-0037).
4. (U) Description of Action: It is anticipated that a cost-plus-fixed fee contract will be negotiated and awarded to satisfy this requirement. Funds are FY 92 R&D as cited on Purchase Request No. 330/026Z/92.
5. (U) Reason for Authority Cited:
  - a. (S/NF) This action is based on the need to restrict potential procurement to the following two potential sources due to national security considerations. The prior specialized involvement of these two potential sources is also a major consideration.
    - (1) (U) Science Applications International Corporation (SAIC):
      - (a) (S/NF) The bulk of the prior research in this field was provided by the Department of the Army's Surgeon General Office (SGRD) beginning in 1985 and spanned several years. This basic and applied research effort involved Dr. Edwin May as the principal researcher and program manager. Dr. May was at that time with the Stanford Research Institute (SRI), Menlo Park, CA. He has since joined the Science Applications International Corporation (SAIC) and has taken his extensive data base and key research assistants with him. Dr. May is regarded as the leading U.S. investigator in this phenomenological area. He has been a program manager in this area since 1986, and has a long involvement (over 20 years) in this field. His extensive work history provides for continuity not available from any other individual. He is recognized by investigators worldwide, and can easily remain current with relevant foreign research.

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(b) (S/NF) This contractor is also uniquely qualified to perform such research due to an extensive background in interdisciplinary research areas that spans over 20 years. This multidisciplinary staff will be available for consultation as the need arises. In addition to Dr. May, the contractor has another physicist, Dr. Angelo, on its staff, who has extensive experience in phenomenological research involving psychoenergetics investigations. Dr. Angelo has over 10 years of involvement in this field in both project management and applications research levels.

(c) (S/NF) The contractor has an extensive classified data base of both government and proprietary data that is essential for this contract. It would be difficult for a new contractor to achieve the present level of expertise and competence possessed by Dr. May and Dr. Angelo. This would create a delay of 2-3 years in pursuit of appropriate research, in achievement of application-oriented results and in understanding potential threat posed by similar foreign activities in this area.

(d) (U) Based on this background of expertise and unique qualifications, and due to additional knowledge generated thus far under the existing DIA phenomenological research contract (MDA 908-91-C-0037), Science Applications International Corporation (SAIC) is considered to be uniquely qualified to perform the new research requirement.

## (2) (U) Institute of Advanced Studies:

(a) (S/NF) The Institute of Advanced Studies, Austin, TX, is a small independent research facility. Its main focus is to explore new and emerging advanced physical theories and potential applications. Although they are not currently active in anomalous cognition research, the director of the Institute, Dr. Harold E. Puthoff, has been extensively involved in this research area and is still recognized as one of the most knowledgeable individuals in this field. His research history in anomalous cognition covers the period 1970 through 1985 when he was the principal investigator for this subject at SRI, International. During this period, Dr. Puthoff accomplished significant research, published numerous articles, and established an international reputation that is only currently exceeded by Dr. May at SAIC.

(b) (S/NF) Even though the Institute of Advanced Study has not been actively investigating anomalous cognition, some of the leading-edge theoretical physics discoveries made by Dr. Puthoff appear to have a direct bearing on possible anomalous cognition exploratory models. Thus, his current solid theoretical foundation, and his previous extensive hands-on experimentation in this area indicate that the Institute of Advanced Studies be considered as an alternate procurement source at this time.

(c) (S/NF) Dr. Puthoff's institute is not currently certified at the Secret level; however, it is believed that with only minimum expenditures his facility clearance could be upgraded. In addition, security action is currently underway in support of another DIA contract to grant

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Dr. Puthoff a TS clearance. It is also anticipated that SCI clearance could also be readily granted, since Dr. Puthoff maintained that clearance level while at SRI International. Any near-term Secret correspondence with Dr. Puthoff can be implemented [REDACTED]

6. (U) Efforts to Obtain Competition: Due to the uniqueness of this research area, there are no other qualified research facilities and personnel available to satisfy this contract. To bring other potential organizations up to speed would not be possible without unacceptable delays or additional costs. Delays could exceed 2-3 years and would not permit current research requirements to be accomplished.

7. (U) Action to Increase Competition: Every effort will be made to release research results as widely as possible to attract other potential researchers. Not only will this be accomplished through specialized (and properly classified) channels, but also appropriate portions will be released at unclassified levels to attract interest in academic facilities.

8. (U) Market Survey: Based on the significant advantage that the proposed contractors possess in this area, a Market Survey would not serve a useful purpose at this time. No other source has expressed an interest in this requirement. Recent contacts at technical symposiums on this topic indicate that no one would be willing to enter into this activity except possibly as a subcontractor. Other researchers in this field are highly specialized, are located at universities or private foundations, and do not want to engage in any classified or broad-based research at this time. However, every effort will be made to involve some of these researchers as subcontractors for unclassified aspects of this contract.

9. (U) Interested Sources: As a result of investigations for other potential contractors as discussed above, and pursuant to FAR 5.202(a)(1), notice of the proposed contract will not be published in the CBD since the synopsis cannot be worded to preclude disclosure of the agency's needs. Such disclosure would compromise national security.

10. (U) Other Factors:

a. (S) Acquisition data availability: Data from previous government-sponsored research is available in DIA. Since this was part of a controlled access project, every effort will be made to downgrade, and where practical, declassify basic aspects of the research. This will, in time, generate a wider interest in this field.

b. (U) Subcontracting Competition: The same basic reasons for this limited procurement also apply to potential subcontractor selection. There are only a few individuals/facilities available that have any established expertise for supporting unclassified portions of this unique research area. It would not be timely or cost advantageous to the government for the Contractor to pursue competitive action for the highly specialized support that may be required.

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11. (U) Technical Certification:

I hereby certify that the supporting data under my cognizance which are included in the J&A are accurate and complete to the best of my knowledge and belief.

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Title: \_\_\_\_\_ Signature: \_\_\_\_\_

12. (U) Requirements Certification:

I hereby certify that the supporting data under my cognizance which are included in the J&A are accurate and complete to the best of my knowledge and belief.

Name: \_\_\_\_\_ Date: \_\_\_\_\_

Title: \_\_\_\_\_ Signature: \_\_\_\_\_

13. (U) Fair and Reasonableness Cost/Price Determination: A detailed technical and cost proposal will be required from the potential contractors. Prior to award, the Government will conduct an in-depth analysis of all technical and cost aspects of this requirement. Based on the outcome, a fair and reasonable cost will be negotiated. Therefore, I hereby determine that the anticipated cost for this contract action will be fair and reasonable.

14. (U) Contracting Officer Certification:

In accordance with FAR 6.303-2 (a) (12), I hereby certify that the facts and representation under my cognizance, which are included in this J&A, are accurate and complete to the best of my knowledge and belief.

Date: \_\_\_\_\_

NAME:  
Contracting Officer

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**APPROVAL**

Based on the foregoing justification, I hereby approve the procurement of PHENOMENOLOGICAL RESEARCH AND ANALYSIS on an other than full and open competition basis pursuant to the authority of 10 U.S.C. 2304(c), subject to availability of funds, and provided that the services and/or property herein described have otherwise been authorized for acquisition.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Name: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

Title: \_\_\_\_\_

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Updated as of  
29 May 92

Date of Request \_\_\_\_\_

Purchase Request No. 330/026Z/92

PROCUREMENT PACKAGE

ACCESS LIST

All personnel reviewing or accessing this purchase request must sign below.

No.	Date	Name (Print/Sign)	Organization	Phone #
1.				
2.				
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Enclosure 1